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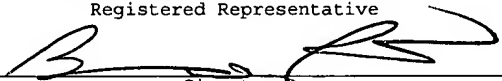
Applicants	:	Stephen Mark KEATING et al.
Serial No.	:	10/006,294
Filed	:	December 6, 2001
For	:	EMBEDDING DATA IN MATERIAL
Art Unit	:	2121

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CLAIM OF PRIORITY

Assistant Commissioner for Patents
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Sir:

In support of the claim of priority under 35. U.S.C. § 119 asserted in the Declaration accompanying the above-entitled application, as filed, please find enclosed herewith certified copies of U.K. Application Nos. 0029855.4 and 0029860.4, filed in U.K. on 7 December 2000 and 7 December 2000, respectively, forming the basis for such claim.

Acknowledgment of the claim of priority and of the receipt of said certified copy(s) is requested.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By: _____
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Enclosure(s)

CERTIFIED COPY OF PRIORITY DOCUMENT

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1. Your reference	P009757GB RWP

2. Patent application number *(The Patent Office will fill in this part)*	**0029855.4**

3.   Full name, address and postcode of the or of each applicant *(underline all surnames)*

Sony United Kingdom Limited
The Heights
Brooklands
Weybridge
KT13 0XW
United Kingdom

Patents ADP number *(if you know it)*

0652270000003

If the applicant is a corporate body, give the country/state of its incorporation

UNITED KINGDOM

4.   Title of the invention

Embedding Data in Material and Removing the Embedded Data

5.   Name of your agent *(if you have one)*

D YOUNG & CO

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	Country	Priority application number *(if you know it)*	Date of filing *(day/month/year)*
1st	GB	0008439.2 ~~was 8.1.01~~	5 Apr 2000
2nd	GB	0019977.8	14 Aug 2000
3rd			NO 31/3/01
			11/01 25/3/01

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Date

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12. Name and daytime telephone number of person to contact in the United Kingdom

Richard Pratt

023 80719500

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Embedding data in material and removing the embedded data

The present invention relates to embedding data in material and removing the embedded data. Embodiments of the invention relate to watermarking of material.

In this application, material means any one or more of video material, audio material and data material. Video is generic to still and moving images.

Steganography is the embedding of data into material such as video material, audio material and data material in such a way that the data is imperceptible in the material.

Watermarks are data embedded in material such as video material, audio material and data material. A watermark may be imperceptible or perceptible in the material.

A watermark may be used for various purposes. It is known to use watermarks for the purpose of protecting the material against, or trace, infringement of the intellectual property rights of the owner(s) of the material. For example a watermark may identify the owner of the material.

Watermarks may be "robust" in that they are difficult to remove from the material. Robust watermarks are useful to trace the provenance of material which is processed in some way either in an attempt to remove the mark or to effect legitimate processing such as video editing or compression for storage and/or transmission. Watermarks may be "fragile" in that they are easily damaged by processing which is useful to detect attempts to remove the mark or process the material.

Visible watermarks are useful to allow e.g. a customer to view an image e,g. over the Internet to determine whether they wish to buy it but without allowing the customer access to the unmarked image they would buy. The watermark degrades the image and the mark is preferably not removable by the customer. Visible watermarks are also used to determine the provenance of the material into which they are embedded.

When material has been watermarked it is desirable to be able to remove the mark. However, embedding a watermark in the material in such a way as to make difficult unauthorised removal may also have the consequence that the watermark is difficult to remove by an authorised person.

According to a first aspect of the invention there is provided:-

a) A method of removing data embedded in material comprising the steps of:

receiving material in which data is embedded;

accessing an information store storing information enabling the data to be

5   removed; and

removing the said data using the enabling data accessed from the store.

b) A method comprising the steps of:

embedding data in material; and

10   storing in an information store information for enabling the data to be removed

from the material.

c) Apparatus for removing data embedded in material comprising:

an input for receiving material in which data is embedded;

15   an information store for storing information enabling the data to be removed;

and

a remover arranged to remove the said data using the enabling data

accessed from the store.

20   d) Apparatus comprising:

an embedder for embedding data in material;

a store for storing information for enabling the data to be removed from the

material; and

a generator for generating the enabling information when the said data is

25   embedded in the material.

The provision of the stored enabling data allows access to enabling data which

allows the embedded data to be removed. In preferred embodiments of this aspect of

the invention, the enabling data is stored in a manner which is secure against

unauthorised access to it. Methods of preventing unauthorised access to secure data

30   are well known.

It has also been found that, in for example video material, embedding watermarks in identical fashion in different images results in differing degrees of difficulty in removing the watermarks.

According to a second aspect of the present invention, there is provided a
5   method comprising the steps of:

embedding data in first material to produce second material in which data is embedded;

removing the data from the second material to produce recovered material;

comparing the first and recovered material to determine the differences and
10   locations of differences therebetween; and

storing corrections which correct the said differences and data identifying the said locations at which the differences occur in the first material.

The second aspect also provides apparatus for embedding data in material comprising:

15   an embedder for embedding data in first material to produce second material in which data is embedded;

a remover for removing the data from the second material to produce recovered material;

a comparator for comparing the first and recovered material to determine the
20   differences and locations of differences therebetween; and

a store for storing data identifying the said locations and corrections which correct the said differences.

According to a third aspect of the present invention there is provided a method of removing data embedded in material, the data being embedded in the material
25   according to the embedding method of said second aspect, the removing method comprising the steps of:

removing the data from the second material to produce recovered material;

deriving the said corrections and locations from a store storing data identifying the said locations and corrections which correct the said differences; and
30   using the corrections to correct the recovered material at the said locations.

The third aspect also provides apparatus for removing data from material in which the data has been embedded by the apparatus of said second aspect, the removing apparatus comprising:

a remover for removing the data from the second material to produce recovered

5   material;

a deriver for deriving the said corrections and locations from the said store; and

a corrector arranged to use the stored corrections to correct the recovered material at the said locations.

The invention also provides a system comprising a combination of the

10   apparatus of the said second aspect (herein after a data embedder) and the apparatus of said third aspect (herein after a data remover.

Ideally, the aspects of the invention operate optimally with a lossless channel between the embedding apparatus and the removing apparatus. If the channel between the embedding apparatus and the removing apparatus is known, an emulator emulating

15   that channel may be provided in the embedding apparatus between the embedded and remover of the embedding apparatus.

For a better understanding of the present invention, reference will now be made by way of example to the accompanying drawings in which:

Figure 1 is a schematic block diagram of an illustrative watermark embedding

20   and removal system in accordance with the present invention;

Figure 2 is a more detailed schematic block diagram of an embedder of the system of Figure1;

Figures 3A and B illustrate an example of a window of coefficients and how the window relates to a coefficient Ci being modified to embed a bit of a watermark;

25   Figure 4 is a flow diagram of a method of calculating strength α in accordance with an example of the invention;

Figure 5 is a schematic block diagram of a watermark decoder;

Figure 6 is a schematic block diagram of a watermark remover;

Figure 7 is a flow diagram of a method of calculating strength α in accordance

30   with an example of the invention;

Figure 8 is a schematic diagram of an alternative, illustrative, set of coefficients usable to calculate α;

Figures 9 and 10 are diagrams used herein below to describe wavelets transforms; and

Figures 11 and 12 are diagrams of data structures of UMIDs.

Overview

5   Figure 1 illustrates a system, in this example a watermarking system, for embedding a watermark onto an image I and for recovering and removing it from the image. The watermarking system 10 comprises: a source 110 of the image I;. a sub-system A for applying the watermark; a sub-system B for detecting and removing the watermark; a 'lossless' channel C linking the sub-systems A and B; and a database D.

10   In overview, the subsystem A applies the watermark to the image. The watermarked image is transmitted via the lossless channel C to the subsystem B where the watermark is detected and removed. It is desired to restore the original image in the subsystem B with no degradation. For that purpose, at the subsystem A the watermark is removed from the image by a remover 130 and the thus restored image is compared

15   with the original image I to detect differences and the locations within the image of the differences. The database D stores the locations of differences and correction data which may be values of the original image at those locations or the differences. The subsystem B detects and removes the watermark to produce a substantially restored image. The removal process is the same as at the subsystem A. The locations of

20   corrections and the corrections are read from the database and the corrections applied to the restored image to correct it. In practice the system will operate on many images. Thus it is necessary to identify the images and the correction data associated therewith in the database D. In this example each image is identified by an identifier which is used to access the relevant data in the database. Preferably the identifier is a UMID.

25   UMIDs are described in the section *UMIDs* below. Most preferably the watermark comprises the UMID. In the example of Figure 1, the image is transformed by a wavelet transform. Wavelet transforms are discussed in the section *Wavelets* below. In the example of Figure 1 wavelet coefficients are compared to determine the said differences.

30   First Example

Referring to Figure 1, the source 110 produces a spatial domain image I. An embedder 120 receives a UMID from a generator 115 and embeds the UMID as the

watermark in wavelet coefficients Ci of a wavelet transform (T) of the image according to

$$Ci' = Ci + \alpha.Ri$$

Where Ci is the ith original image coefficient, Ci' is the ith modified coefficient, Ri is i^{th}

5   bit of watermark data and α is a scaling factor. As described hereinafter Ri may be a bit of a pseudo random symbol sequence modulated by the UMID data Wi.

The remover 130 removes the watermark to produce restored coefficients Ci" according to

$$Ci'' = Ci' - \alpha.Ri$$

10   A comparator 125 compares the restored coefficients Ci" with the original coefficients Ci to determine any differences and the locations thereof. The differences and locations *i* are stored in the database D together with the UMID generated by generator 115.

The coefficients Ci' produced by the embedder 120 are inverse transformed

15   (T^{-1}) and applied to the channel C.

The subsystem B receives the watermarked image from the channel C. A transformer T recreates the coefficients Ci'. The UMID is detected and removed by a detector and remover 127. The detected UMID is used to access the correction data in the data base and the correction data is applied to the image coefficients Ci" in a

20   corrector 129 to restore the original coefficients Ci which may be inverse transformed $(T^{-1})$to restore the original image I.

In this first example, α is a fixed predetermined value. Using a fixed predetermined value of α facilitates removal of the watermark.

Second Example

25   The second example is identical to the first except that a channel emulator 121 is provided between the embedder 120 and remover 130. The emulator applies, to the output of the embedder, a channel emulator function emulating the effect of channel C on the output of the embedder.

The channel emulation 121 emulates the channel C. Thus errors introduced by

30   the channel C can be detected and corrections stored in the database D.

This is useful especially if the channel C is lossy.

Third Example

This modifies the first or second example in that α is not fixed. The embedder 120 is described with reference to Figures 2 to 4 and the remover 130, 127 is described with reference to Figures 5 to 7.

5   Figure 2 illustrates the watermark embedder 120 in more detail. The watermark embedder 120 comprises pseudo-random sequence generator 220, an error correction coding generator 200, a wavelet transformer 210, an inverse wavelet transformer 250, a first combiner 230, a data converter 225 and a second combiner 240. The wavelet transformer 210 includes a frame store FS1. The inverse

10   transformer 250 includes a frame store FS2. The frame store FS1 stores a frame of unmodified coefficients Ci. The frame store FS2 stores a frame of modified coefficients Ci'.

The error correction coding generator 200 receives the UMID and outputs an error correction coded UMID to the first combiner 230. The pseudo-random sequence

15   generator 220 outputs a pseudo-random binary sequence (PRBS) Pi, where i is the ith bit of the sequence, to the first combiner 230. The PRBS has a length L x J of bits where J is the number of bits in the error correction encoded UMID. Each bit j of the error correction encoded UMID then modulates a section of length L of the PRBS. The first combiner 230 logically combines the error correction encoded UMID with the

20   PRBS to produce a watermark having bits Ri. A bit Wj=0 of the error correction encoded UMID inverts L bits of the PRBS. A bit Wj=1 of the error correction encoded UMID does not invert the PRBS. Thus bits Wj of the error correction encoded UMID are spread over L bits of the PRBS. The data converter 225 converts binary 1 to symbol +1 and binary 0 to symbol −1 to ensure that binary 0 bits contribute to a

25   correlation value used in the decoder of Figure 5.

The wavelet transformer 210 receives the video image I from the source 110 and outputs wavelet coefficients to the second combiner 240. Wavelets are briefly discussed in the section *Wavelets* below.

The second combiner 240 receives the watermark Ri, the wavelet coefficients

30   Ci and watermark strength αi and outputs modified coefficients Ci' where

$$Ci' = Ci + \alpha i\, Ri$$

The inverse wavelet transformer 250 receives the modified coefficients Ci' and outputs a spatial domain watermarked image I'.

The embedder includes an ECC generator 200. The use of error correction coding to produce an error correction coded UMID is advantageous since it allows the

5   UMID 175 to be reconstructed more readily should some information be lost. This provides a degree of robustness to future processing or attacks against the watermark. The use of a pseudo-random sequence Pi to generate a spread spectrum signal for use as a watermark is advantageous since it allows the error correction coded UMID 205 to be spread across a large number of bits. Also, it allows the watermark to be more

10   effectively hidden and reduces the visibility of the watermark. Applying the watermark to a wavelet transform of the image is advantageous since this reduces the perceptibility of the watermark. Furthermore, the strength of the watermark is adjusted by αi to control the visibility of the watermark.

The operation of the error correction code generator 200 will now be described.

15   The error correction code generator 200 receives a UMID. Typically the UMID will be a binary sequence of 31 bytes. The error correction code generator 200 typically outputs a 511 bit error correction coded binary sequence. Various error correction coding schemes are known. One approach uses BCH coding which corrects up to 31 bit errors. The error correction rates can be further improved by using knowledge of

20   the UMID format to help correct errors. One such approach is to check for invalid dates times GPS locations etc.

The watermark is preferably embedded in predetermined regions of the wavelet transformed image. Most preferably the upper horizontal (hH,lV)and upper vertical (lH,hV) bands are used. These bands are chosen as watermarks embedded in these

25   regions are not readily perceptible. The length of the pseudo-random sequence may be chosen such that the watermark fills the predetermined regions in each wavelet image.

Calculating α, Figures 3 and 4.

In accordance with an illustrative embodiment of the invention, for each coefficient Ci, a value of α, αi is calculated. αi is calculated as

30   $$\alpha i = F \{Cn\}_i,$$

where $\{Cn\}_i$ is a set of unmodified wavelet coefficients excluding Ci, which set may vary with i, that is respective values of αi are functions F of respective sets $\{Cn\}_i$. This is shown as step S8 in Figure 4.

The coefficients Cn_i of the set may be in the same wavelet band as Ci or may be in different bands from Ci and from each other as described below with reference to Figure 8.

If the coefficients are in the same band as Ci, they are preferably in a window adjacent Ci. For example the set comprises N coefficients Ci-1 to Ci-N as shown in Figure 3 and the embodiment will be described in the following with reference to that.

The number N of coefficients may vary with Ci; thus for generality N is denoted as Ni.

The function F may be any suitable function. In this illustrative embodiment F is such that

$$\alpha i = F\{Cn\}i = \frac{1}{Ni} \cdot \sqrt{\sum C_n^2} \text{ for } n = i\text{-}1 \text{ to } i\text{-}N \text{ for } Ni \neq 0 \text{ and } \alpha i = k \text{ for } Ni = 0.$$

Figure 3A is a map of wavelet coefficients in a frame store 300, the coefficients being in level 1 of a wavelet transform. In a preferred embodiment, the coefficients Ci are modified only in the upper horizontal hH, lV and upper vertical lH, hV bands to embed the watermark. However, coefficients in other bands and/or in other levels may be modified to embed a watermark. In the following only band hH, lV is considered.

The wavelet coefficients are stored in the frame store 300 (also denoted FS1 in Figure 2) and in this example are stored as shown in Figure 3A grouped in the bands. The coefficients are serially ordered. For example they may be serially ordered by a raster scan thereof. Other scanning patterns are known. Assuming serial ordering of the coefficients in each band, for each coefficient Ci to be modified, there is defined a set $\{Cn\}_i$ (herein also referred to as a 'window') of Ni coefficients excluding Ci. The set $\{Cn\}_i$ consists of the Ni coefficients Ci-1 to Ci-Ni preceding coefficient Ci on the same line , up to a maximum of for example M most recent coefficients. It will be noted that in the band hH, lV coefficient C1 has no preceding coefficients, C2 has only one preceding coefficient, and so on. For coefficient C1 αi is set to a predetermined value K. For subsequent coefficients the set comprises the totality of preceding coefficients.

Thus αi is defined individually for each coefficient Ci to be modified. In the example above it is defined by the set of Ni unmodified coefficients preceding Ci. By choice of the appropriate function F, αi is adapted to the image such that image degradation can be minimised. In addition as will be discussed below in the section *Remover*, this allows αi to be recalculated from the watermarked image coefficients, after those have been restored to their original values. This improves the accuracy of restoring the original image.

Referring to Figure 4 the illustrative procedure for calculating Ci' is as follows:-

The calculation procedure starts at step S2. At step S4, i is initiates with value 0. At step S6, i is incremented by 1 to calculate $\alpha 1$ at step S8 for coefficient C1'. At step S10 the value of modified coefficient C1' is calculated. The procedure then reverts to step S6 and i is incremented. The procedure continues until all coefficients have been modified.

In addition, the calculation of α may be modified in one or both of the following ways:-

1)   If $\alpha i < \alpha_{TL}$, it is incremented to α_{TL}, where α_{TL} is a lower threshold; and

if $\alpha i > \alpha_{TH}$ it is reduced to α_{TH}, where α_{TH} is an upper threshold.

2)   The magnitude $|C_n|$ of each coefficient is compared with a threshold C_{TH}.

If $|C_n| > C_{TH}$ then Cn is not included in the calculation of αi,; or

if $|C_n| > C_{TH}$ C_n is clipped to $(C_n/|C_n|)C_{TH}$

Watermark decoder and remover. Figures 5 and 6.

Decoder Figure 5

The operation of the watermark decoder 140 will now be explained in more detail with reference to Figure 5. The watermark decoder 140 receives the watermarked image I' and outputs the restored UMID. The watermark decoder 140 comprises a wavelet transformer 310, a reference pseudo-random sequence (PRBS) generator 320, a correlator 330, a selector 340 and a error correction coding decoder 350. The PRBS generated by the generator 320 is identical to that generated by the

PRBS generator 220 of Figure 2 and converted by a data converter (not shown) to values +1 and −1 as described above.

The wavelet transformer 310 receives the watermarked image I' and, in known manner, outputs the modified wavelet coefficients Ci'. The correlator 330 receives the reference pseudo-random sequence PRBS having symbols Pi of values +1 and -1 from the pseudo-random sequence generator 320, and the wavelet coefficients Ci' and outputs a watermark image bit correlation sequence 335. The watermarked image bit correlation sequence is determined in the following way.

The modified wavelet coefficients $Ci'=Ci+\alpha_i R_i$ where R_i are bits of PRBS modulated by error-correction encoded bits Wj of UMID. In the example given above there are 511 bits Wj. Each bit Wj modulates L bits of PRBS. There are JL bits in the modulated PRBS.

For each error correction encoded bit Wj, the correlater 330 calculates a correlation value

$$S_j' = \sum_{i=jL+1}^{jL+L} Ci'.Pi$$

where j = 0, 1, 2... T-1, and T is the number of error correction encoded bits. In this example T=511. A sequence 335 of correlation values S_j' is produced.

The correlation sequence 335 is received by the selector 340 which outputs an uncorrected UMID 345. The selector 340 outputs a bit value "1" for a value of S' greater than 0 and a bit value "0" for S' less than or equal to 0. The error correction code decoder 350 receives the uncorrected UMID 345 and in known manner outputs the restored UMID 145.

The reference PRBS Pi is synchronised with the modulated PRBS in the watermarked image. For that purpose a synchroniser (not shown) is used. Such synchronisation is known in the art.

Remover Figure 6.

The watermark remover 130 receives the restored UMID 145, and the watermarked image I' and outputs a restored image I". The watermark remover 130 comprises a pseudo-random sequence generator 420 for generating a reference pseudo-random sequence Pi identical to that produced by generators 220 and 320, a spread

spectrum signal generator 430 which produces, via a data converter 425, a restored watermark Ri' having bit values +1 and -1 from the restored UMID 145 and the pseudo-random sequence Pi.   The reference sequence Pi is synchronised with the modulated sequence in the watermarked image in known manner.

5   The watermark remover 130 further comprises a wavelet transformer 410 which produces modified wavelet coefficients Ci' from the watermarked image I', a strength estimator 460 for calculating α and a combiner 440 which calculates restored wavelet coefficient values according to the equation

$$Ci = Ci' - \alpha_i. Ri'.$$

10   The restored wavelet coefficients C are fed to an inverse wavelet transformer 450 which outputs the restored image I".

Calculating αi, Figure 7.

In accordance with the illustrative embodiment of the invention, αi is 15   calculated in the embedder as described above in the section *Calculating α.* The estimator 460 of the remover of Figure 6 recalculates α in analogous manner from coefficients Ci which have been restored to their original values.

Thus referring for example to Figure 3A and to Figures 6 and 7, the modified coefficients Ci' are stored in a frame store 300 indicated as FS3 in the wavelet 20   transformer of Figure 6 in the same way as shown in figure 3A and they are serially ordered in the same way as described with reference to Figure 3A.   It will be recalled that coefficient Ci' has no preceding coefficients so $\alpha 1 = k$ and $C1 = C1'- kR1$. For each subsequent coefficient Ci, αi is calculable from the set Ni of preceding restored coefficients, all of which have been restored to their original value according to 25 $Ci = Ci' - \alpha_i. Ri'.$

Referring to Figure 7, the calculation procedure starts at step S5. At step S7, i is initiated to 0. At step S9, i is incremented by 1 to calculate $\alpha 1$ at step S11 for coefficient C1'. At step S13 the original value C1 is calculated from coefficients C1'. The procedure then reverts to step S9 and i is incremented. The procedure continues 30   until all coefficients Ci' have been restored to their original values Ci.

As in the embedder of Figure 2, the calculation of α may be modified in one or both of the following ways:-

1)   If $\alpha i < \alpha_{TL}$, it is incremented to α_{TL}, where α_{TL} is a lower threshold; and

if $\alpha i > \alpha_{TH}$ it is reduced to α_{TH}, where α_{TH} is an upper threshold.

5   2)   The magnitude $|Cn|$ of each coefficient is compared with a threshold C_{TH}.

If $|Cn| > C_{TH}$ then Cn is not included in the calculation of αi; or

if $|Cn| > C_{TH}$ C_n is clipped to $(Cn/|Cn|)C_{TH}$.

10   Modifications.

As mentioned above the coefficients from which the value of αi is calculated may be in different bands to the related coefficient Ci which is to be modified or restored to its original value. Thus by way of example, referring to Figure 8, the set of coefficients $\{Cn\}_i$ used to calculate αi of band hH, lV may be in the other

15   bands. In the example of Figure 8 the set $\{Cn\}_i$ is shown as including coefficients Cli, C2i and C3i which are at positions related to the position of coefficient Ci. In this way, image properties in other bands are taken into account in calculating αi to ensure that the watermark is imperceptible.

The coefficients Cli, C2i and C3i used to modify or restore Ci, may be

20   coefficient which are never modified.   That can be done by modifying only coefficients in one or more bands such as hH, lV and leaving the coefficients in other bands·unmodified. Alternatively at least some of the coefficients Cli, C2i and C3i used to modify or restore Ci may be modified. That can be done by storing the coefficients in a frame store 300 as shown in Figure 3 or 8 and by reading out

25   coefficients in an order which allows the procedures of Figures 4 and 7 to be followed.

It will be appreciated that whilst the foregoing discussion refers for ease of explanation to only 3 coefficients Cli, C2i and C3i in 3 bands in one level, in practice many more coefficients may be used and the coefficients may be in more than three bands and in more than one level.

30   Other transforms

Whilst the invention has been described by way of example with reference to Wavelet transforms, it may be used with other transforms for example DCT.

Other material

Whilst the invention has been described by way of example with reference to material comprising video material (still or moving images), it may be applied to other material, for example audio material and data material.

PRBS

As described hereinabove, the PRBS has a length of L J where J is the number of bits in a UMID. Thus each bit Wj of the UMID modulates a section of length L of the PRBS. Instead, it may have a length of L bits and be repeated for each bit j of the UMID.

Other Watermark data.

Whilst the invention has been described by way of example with reference to UMIDs as the watermark data, it may be used with other data as the watermark.

Using modified coefficients to calculate α

The foregoing embodiment calculates α using unmodified coefficients. In alternative embodiments α is calculated using modified coefficients or a combination of modified and unmodified coefficients. The coefficients C_i are serially ordered. The coefficients used to calculate α_i for coefficient C_i are coefficients preceding i on the serial order.

Referring to Figures 2, and 6 frames stores FS1, FS2, FS3 and FS4 are provided in the wavelet transformer 210, the inverse wavelet transformer 250, the wavelet transformer 410 and the inverse wavelet transformer 450. Frame stores FS1 and FS4 store unmodified coefficients. Frame stores FS2 and FS3 store modified coefficients C'_i.

Thus there are available both at the encoder and at the remover serially ordered sets of unmodified and modified coefficients.

In the embedder of Figure 2, as coefficients C_i in store FS1 are modified, they are stored in FS2 as coefficients C_i'. Thus modified coefficients C_i' are available to calculate α_i. Thus the set $\{C_n\}_i$ used to calculate α_i for modifying coefficient C_i may

comprise modified coefficients C' preceding Ci optionally together with unmodified coefficients C preceding Ci.

At the remover modified coefficients Ci' are stored in store FS3. Also the coefficients are restored, restored coefficients Ci are stored in store FS4. Thus

5   modified coefficients Ci' are available to calculate αi optionally together with restored coefficients Ci.

Sets of coefficients preceding a coefficient Ci or Ci' are present in all four frame stores FS1, FS2, FS3 and FS4.

Shape of sets $\{Cn\}i$

10   A set $\{Cn\}i$ may have any convenient shape. Where αi is calculated only from coefficients preceding Ci, the set may consist of coefficients immediately preceding Ci. Where the coefficients are raster scanned to serially order them, the set may consist of coefficients on the same scanning line as Ci. Alternatively, it may consist of coefficients on that line and a preceding line. Other shapes are possible.

<u>Wavelets</u>

Wavelets are well known and are described in for example " A Really Friendly Guide to Wavelets " by C Valens, 1999 and available at www.

Valens shows that the discrete wavelet transform can be implemented as an iterated filter bank as used in sub-band coding, with scaling of the image by a factor of 2 at each iteration.

Thus referring to Figure 10, a spatial domain image is applied to a set of high pass HP and low pass LP filters. At level 1, the first stage of filtering, the image is filtered horizontally and vertically and, in each direction, scaled down by a factor of 2. In level 2, the low pass image from level 1 is filtered and scaled in the same way as in level 1. The filtering and scaling may be repeated in subsequent levels 3 onwards.

The result is shown schematically in Figure 9. Figure 9 is a representation normal in the art. The horizontal axis indicates increasing At level one the image is spatially filtered into four bands: the lower horizontal and vertical band, lH_1, lV_1; the upper horizontal band hH_1, lV_1; the upper vertical band lH_1, hV_1; and the upper horizontal and vertical band, hH_1, hV_1. At level 2, the lower horizontal and vertical band, lH_1, lV_1 is filtered and scaled into the lower horizontal and vertical band, lH_2, lV_2; the upper horizontal band hH_2, lV_2; the upper vertical band lH_2, hV_2; and the upper horizontal and vertical band, hH_2, hV_2. At level 3 (not shown in Figure 9), the lower horizontal and vertical band, lH_2, lV_2 is further filtered and scaled.

UMIDs

The Unique Material Identifier or UMID is described in SMPTE Journal March 2000. Referring to Figure 11 an extended UMID is shown. It comprises a first set of 32 bytes of basic UMID and a second set of 32 bytes of signature metadata.

The first set of 32 bytes is the basic UMID. The components are: ·

•A 12-byte Universal Label to identify this as a SMPTE UMID. It defines the type of material which the UMID identifies and also defines the methods by which the globally unique Material and locally unique Instance numbers are created.

•A 1-byte length value to define the length of the remaining part of the UMID.

•A 3-byte Instance number which is used to distinguish between different 'instances' of material with the same Material number.

•A 16-byte Material number which is used to identify each clip. Each Material number is the same for related instances of the same material.

The second set of 32 bytes of the signature metadata as a set of packed metadata items used to create an extended UMID. The extended UMID comprises the basic UMID followed immediately by signature metadata which comprises:

•An 8-byte time/date code identifying the time and date of the Content Unit creation.

•A 12-byte value which defines the spatial co-ordinates at the time of Content Unit creation.

•3 groups of 4-byte codes which register the country, organisation and user codes.

Each component of the basic and extended UMIDs will now be defined in turn.

The 12-byte Universal Label

The first 12 bytes of the UMID provide identification of the UMID by the registered string value defined in table 1.

Byte No.	Description	Value (hex)
1	Object Identifier	06h
2	Label size	0Ch
3	Designation: ISO	2Bh
4	Designation: SMPTE	34h
5	Registry: Dictionaries	01h
6	Registry: Metadata Dictionaries	01h
7	Standard: Dictionary Number	01h
8	Version number	01h
9	Class: Identification and location	01h
10	Sub-class: Globally Unique Identifiers	01h
11	Type: UMID (Picture, Audio, Data, Group)	01, 02, 03, 04h
12	Type: Number creation method	XXh

5

Table 1: Specification of the UMID Universal Label

The hex values in table 1 may be changed: the values given are examples. Also the bytes 1-12 may have designations other than those shown by way of example in the table. Referring to the Table 1, in the example shown byte 4 indicates that bytes
10   5-12 relate to a data format agreed by SMPTE. Byte 5 indicates that bytes 6 to 10 relate to "dictionary" data. Byte 6 indicates that such data is "metadata" defined by bytes 7 to 10. Byte 7 indicates the part of the dictionary containing metadata defined by bytes 9 and 10. Byte 10 indicates the version of the dictionary. Byte 9 indicates the class of data and Byte 10 indicates a particular item in the class.

15   In the present embodiment bytes 1 to 10 have fixed preassigned values. Byte 11 is variable. Thus referring to Figure 12, and to Table 1 above, it will be noted that the bytes 1 to 10 of the label of the UMID are fixed. Therefore they may be replaced by a 1 byte 'Type' code T representing the bytes 1 to 10. The type code T is followed

by a length code L. That is followed by 2 bytes, one of which is byte 11 of Table 1 and the other of which is byte 12 of Table 1, an instance number (3 bytes) and a material number (16 bytes). Optionally the material number may be followed by the signature metadata of the extended UMID and/or other metadata.

The UMID type (byte 11) has 4 separate values to identify each of 4 different data types as follows:

'01h' = UMID for Picture material

'02h' = UMID for Audio material

'03h' = UMID for Data material

'04h' = UMID for Group material (i.e. a combination of related essence).

The last (12th) byte of the 12 byte label identifies the methods by which the material and instance numbers are created. This byte is divided into top and bottom nibbles where the top nibble defines the method of Material number creation and the bottom nibble defines the method of Instance number creation.

Length

The Length is a 1-byte number with the value '13h' for basic UMIDs and '33h' for extended UMIDs.

Instance Number

The Instance number is a unique 3-byte number which is created by one of several means defined by the standard. It provides the link between a particular 'instance' of a clip and externally associated metadata. Without this instance number, all material could be linked to any instance of the material and its associated metadata.

The creation of a new clip requires the creation of a new Material number together with a zero Instance number. Therefore, a non-zero Instance number indicates that the associated clip is not the source material. An Instance number is primarily used to identify associated metadata related to any particular instance of a clip.

Material Number

The 16-byte Material number is a non-zero number created by one of several means identified in the standard. The number is dependent on a 6-byte registered port ID number, time and a random number generator.

Signature Metadata

Any component from the signature metadata may be null-filled where no meaningful value can be entered. Any null-filled component is wholly null-filled to clearly indicate a downstream decoder that the component is not valid.

The Time-Date Format

5   The date-time format is 8 bytes where the first 4 bytes are a UTC (Universal Time Code) based time component. The time is defined either by an AES3 32-bit audio sample clock or SMPTE 12M depending on the essence type.

The second 4 bytes define the date based on the Modified Julian Data (MJD) as defined in SMPTE 309M. This counts up to 999,999 days after midnight on the 17th 10   November 1858 and allows dates to the year 4597.

The Spatial Co-ordinate Format

The spatial co-ordinate value consists of three components defined as follows:

•Altitude: 8 decimal numbers specifying up to 99,999,999 metres.

•Longitude: 8 decimal numbers specifying East/West 180.00000 degrees (5 15   decimal places active).

•Latitude: 8 decimal numbers specifying North/South 90.00000 degrees (5 decimal places active).

The Altitude value is expressed as a value in metres from the centre of the earth thus allowing altitudes below the sea level.

20   It should be noted that although spatial co-ordinates are static for most clips, this is not true for all cases. Material captured from a moving source such as a camera mounted on a vehicle may show changing spatial co-ordinate values.

Country Code

The Country code is an abbreviated 4-byte alpha-numeric string according to 25   the set defined in ISO 3166. Countries which are not registered can obtain a registered alpha-numeric string from the SMPTE Registration Authority.

Organisation Code

The Organisation code is an abbreviated 4-byte alpha-numeric string registered with SMPTE. Organisation codes have meaning only in relation to their registered 30   Country code so that Organisation codes can have the same value in different countries.

User Code

The User code is a 4-byte alpha-numeric string assigned locally by each organisation and is not globally registered. User codes are defined in relation to their registered Organisation and Country codes so that User codes may have the same value in different organisations and countries.

CLAIMS

1. A method of removing data embedded in material comprising the steps of:

receiving material in which data is embedded;

accessing an information store storing information enabling the data to be

5   removed; and

removing the said data using the enabling data accessed from the store.

2. A method comprising the steps of:

embedding data in material; and

10   storing, in an information store, information for enabling the data to be

removed from the material.

3. Apparatus for removing data embedded in material comprising:

an input for receiving material in which data is embedded;

15   an information store for storing information enabling the data to be removed;

and

a remover arranged to remove the said data using the enabling data

accessed from the store.

20   4. Apparatus comprising:

an embedder for embedding data in material;

-· a store for storing information for enabling the data to be removed from the

material; and

a generator for generating the enabling information when the said data is

25   embedded in the material.

5. A method comprising the steps of:

embedding data in first material to produce second material in which the data is

embedded;

30   removing the data from the second material to produce recovered material;

comparing the first and recovered material to determine any differences and locations of differences therebetween; and

storing corrections which correct the said differences and data identifying the said locations in the first material at which the differences occur.

5

6. A method according to claim 5, further comprising applying a channel emulation to the second material, wherein the removing step produces recovered material from the second material to which the emulation function has been applied.

10 7. A method according to claim 5 or 6, wherein the storing step comprises storing, as the said corrections, the values of the first material which values occur at the said locations in the first material.

8. A method according to claim 5 or 6, wherein the storing step comprises 15 storing the said differences.

9. A method according to claim 5, 6, 7 or 8, further comprising storing identification data which identifies the said material.

20 10. A method according to claim 5, 6, 7, 8 or 9, wherein the said identification data comprises an identifier which identifies the second material.

11. A method of removing data embedded in material, the data being embedded in the material according to the method of any one of claims 5 to 10, the 25 removing method comprising the steps of:

removing the data from the said second material to produce recovered material;

retrieving the said corrections and locations from a store storing the said corrections which correct the said differences and the said data identifying the said locations in the first material at which the differences occur; and

30 using the said retrieved corrections to correct the recovered material at the said locations identified by the identifying data.

12   A method according to claim 10 when dependent on claim 6, wherein the using step comprises replacing the values of the recovered material at the said locations identified by the identifying data by the stored values of the first material at the said locations.

13.   A method according to claim 11 when dependent on claim 8, wherein the using step comprises correcting the values of the recovered material at the said locations using the said stored differences.

14.   A method according to claim 11, 12 or 13, when dependent on claim 9 further comprising the step of determining the identifier of the second material and retrieving from the said store the corrections and locations associated with the identified material.

15.   Apparatus comprising:

an embedder for embedding data in first material to produce second material in which data is embedded;

a remover for removing the data from the second material to produce recovered material;

a comparator for comparing the first and recovered material to determine the differences, and locations of differences, therebetween; and

a store for storing data identifying the said locations and corrections which correct the said differences.

16.   Apparatus according to claim 15, further comprising a channel emulator between the embedder and remover.

17.   Apparatus for removing data from material in which the data has been embedded by the apparatus of claim 14, the removing apparatus comprising:

a remover for removing the data from the said second material to produce recovered material;

a deriver for deriving the said corrections and identifying data from the said store; and

a corrector arranged to use the stored corrections to correct the recovered material at the said locations identified by the identifying data.

5

18.   A system comprising embedding apparatus according to claim 15 or 16, and removing apparatus according to claim 15 linked by a channel.

19.   An apparatus or method according to any one of claims 1 to 18, 10   wherein the said material is video material.

20.   An apparatus or method according to any one of claims 1 to 18, wherein the said material is audio material.

15   21.   An apparatus or method according to any one of claims 1 to 18, wherein the said material is audio-visual material

22.   An apparatus or method according to anyone of claims 1 to 21, wherein the said data includes a UMID.

20

23.   A computer program product arranged to carry out the method of any one of claims 1 to 9 and 19 to 22 when run on a computer.

24.   A computer program product arranged to carry out the method of any 25   one of claims 11 to 14 and 19 to 22 when run on a computer.

25.   A method of embedding data in material substantially as hereinbefore described with reference to Figure 1 optionally together with one or more of Figures 2 to 12.

30

ABSTRACT

## EMBEDDING AND REMOVING DATA FROM MATERIAL

A method of embedding data in material comprises the steps of:

embedding data in original material to produce data embedded material;

5   removing the watermark from the data embedded material to produce recovered material;

comparing the original and recovered material to determine the differences and locations of differences therebetween; and

storing the said locations and corrections which correct the said differences.

10   A method of removing the data embedded in the material, comprises the steps of:

removing the data from the material to produce recovered material;

deriving the said corrections and locations from the said store; and

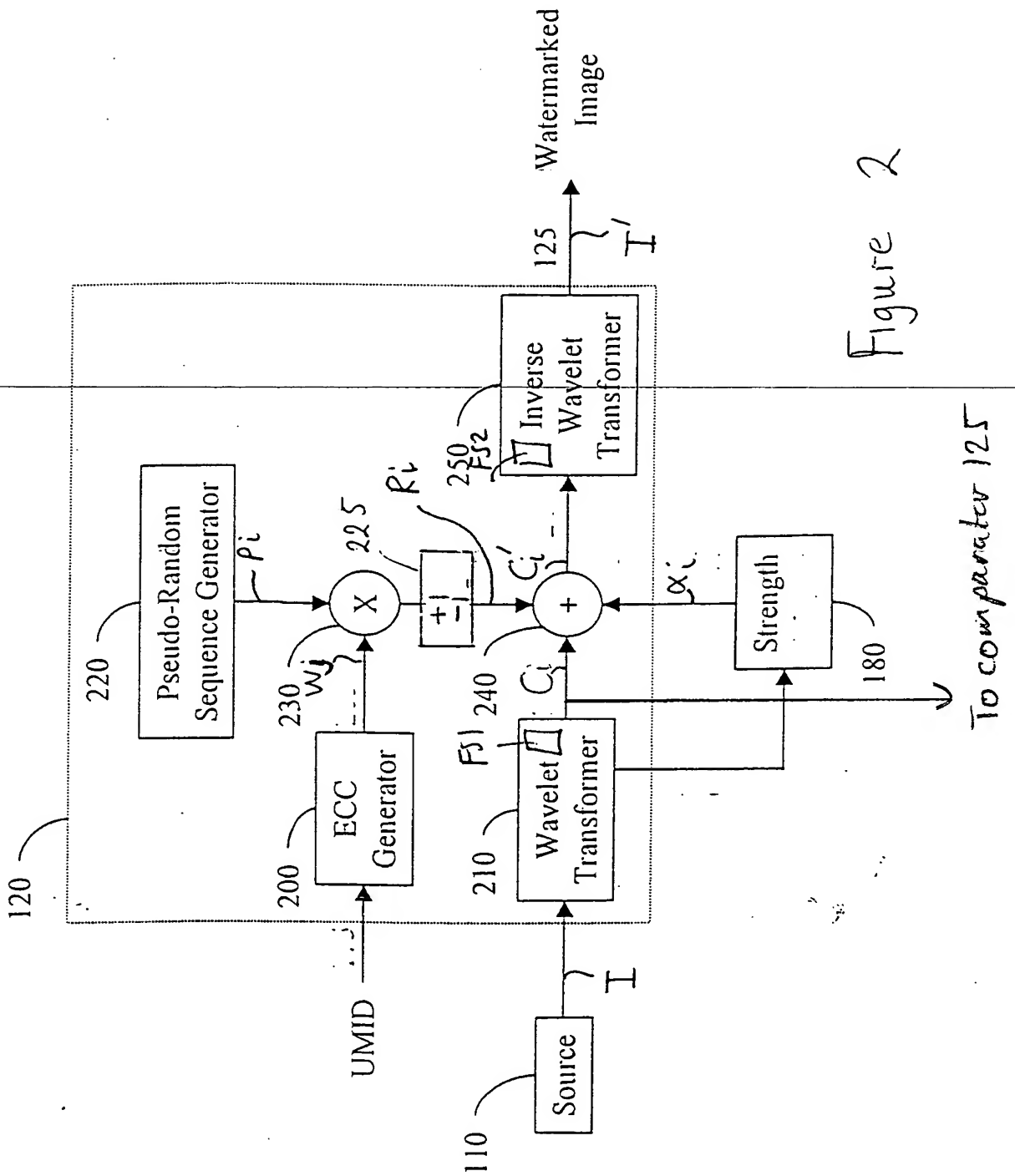using the corrections to correct the recovered material at the said locations.
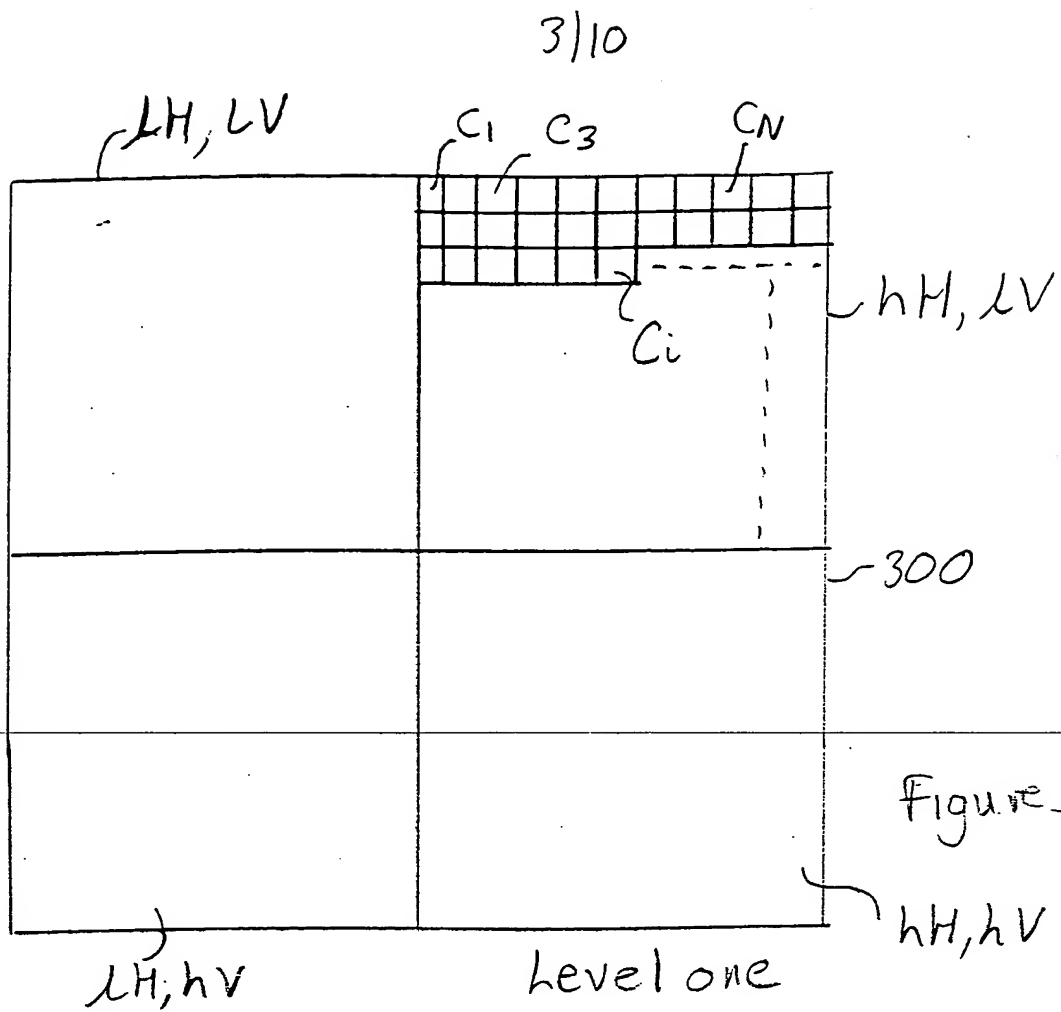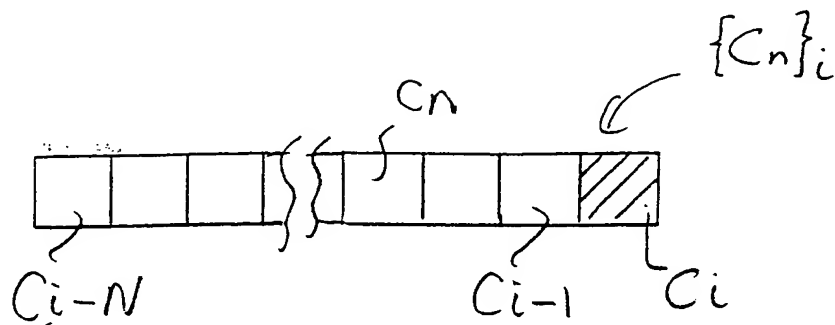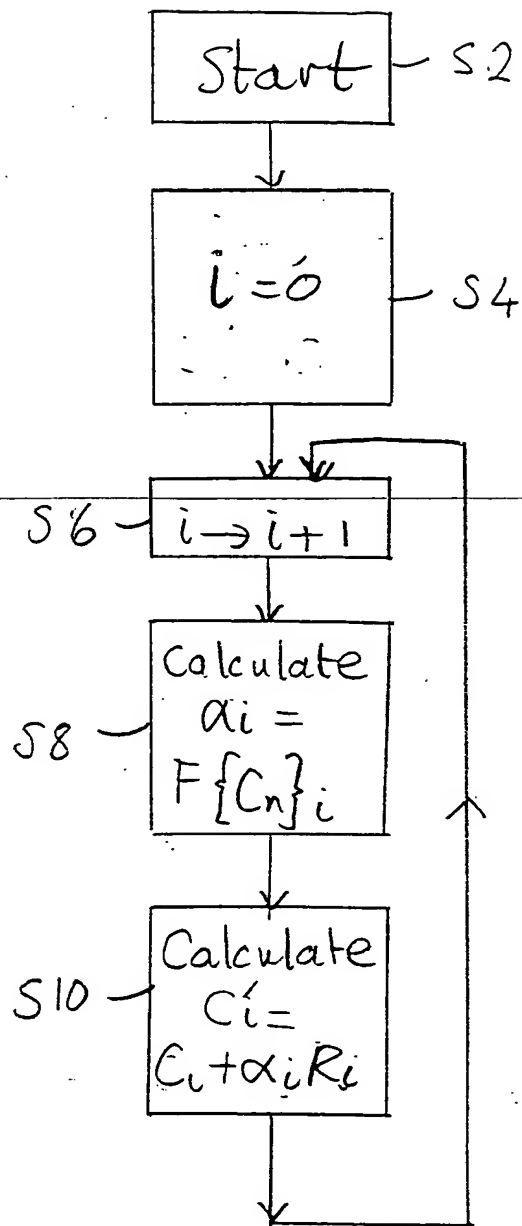
15

[Figure 1]
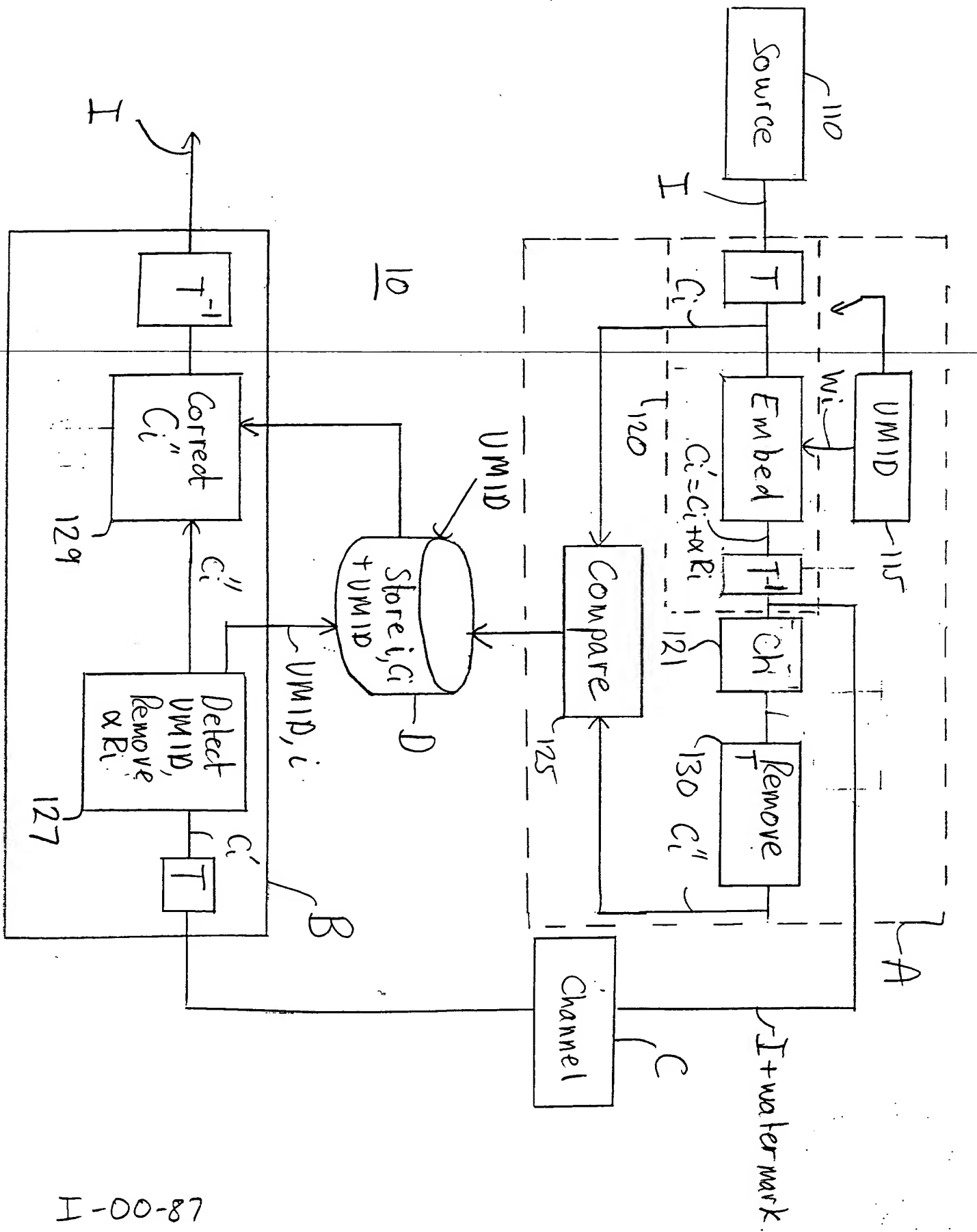
Figure 2

Figure 3A

Figure 3B

Figure 4

```
        ┌──────────┐
        │  Start   │── S2
        └──────────┘
             │
             ▼
        ┌──────────┐
        │          │
        │   i = 0  │── S4
        │          │
        └──────────┘
             │
             ▼
   S6 ──┌──────────┐◄─────┐
        │ i → i+1  │      │
        └──────────┘      │
             │            │
             ▼            │
        ┌──────────┐      │
        │ Calculate│      │
   S8 ──│   αi =   │      │
        │  F{Cn}i  │      │
        └──────────┘      │
             │            │
             ▼            │
        ┌──────────┐      │
        │ Calculate│      │
  S10 ──│   Ci' =  │      │
        │ Ci + αiRi│      │
        └──────────┘      │
             │            │
             ▼────────────┘
```

$i = 0$

$i \rightarrow i+1$

Calculate $\alpha_i = F\{C_n\}_i$

Calculate $C_i' = C_i + \alpha_i R_i$

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Figure 5

Figure 6

Start — S5

$i = 0$

S7

Figure 7.

S9 — $i \rightarrow i+1$

Calculate
$\alpha_i = F\{C_n\}_i$

S11

Calculate
$C_i = C_i' - \alpha_i R_i$

S13

Figure 8

$hH, \ell V$

c_i

c_{1i}

c_{2i}

c_{3i}

300

Figure 9

l = lowpass
h = high pass

Wavelet Domain level 1

Level 2.
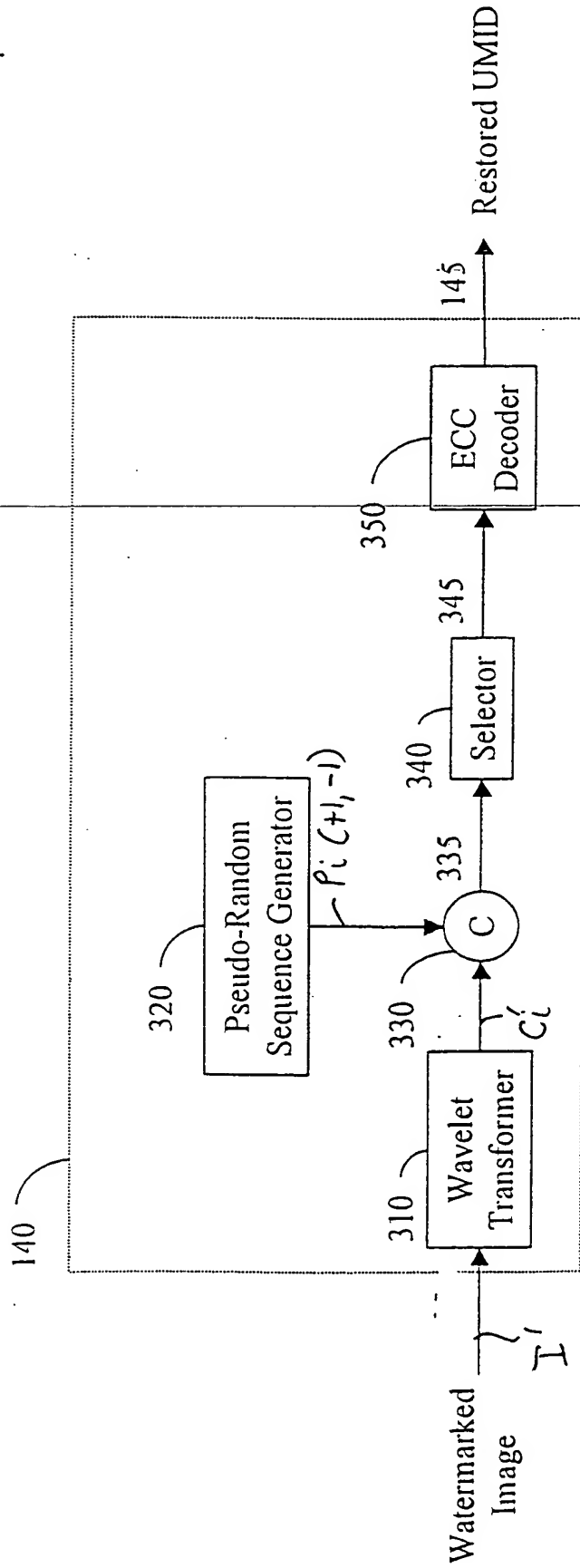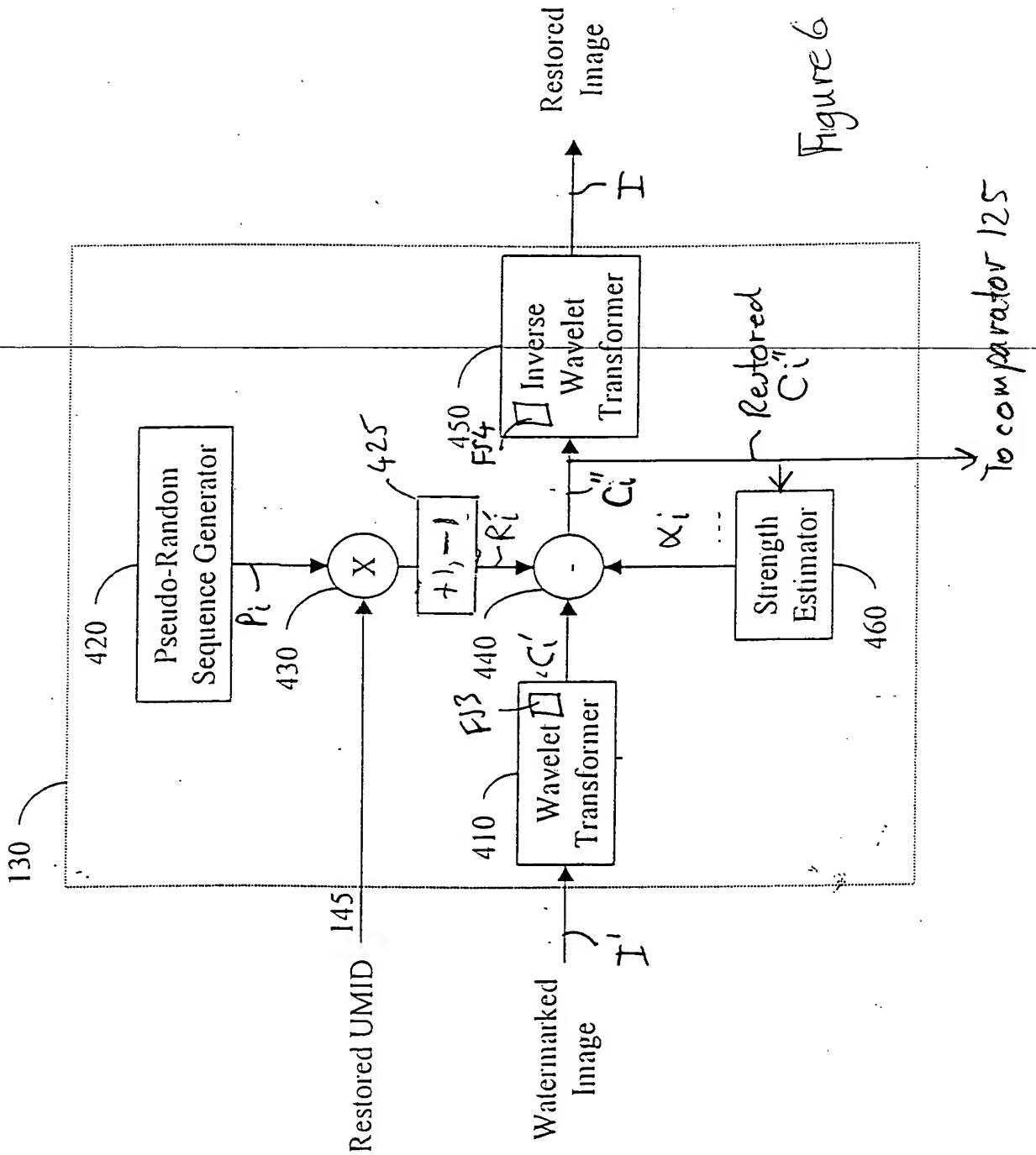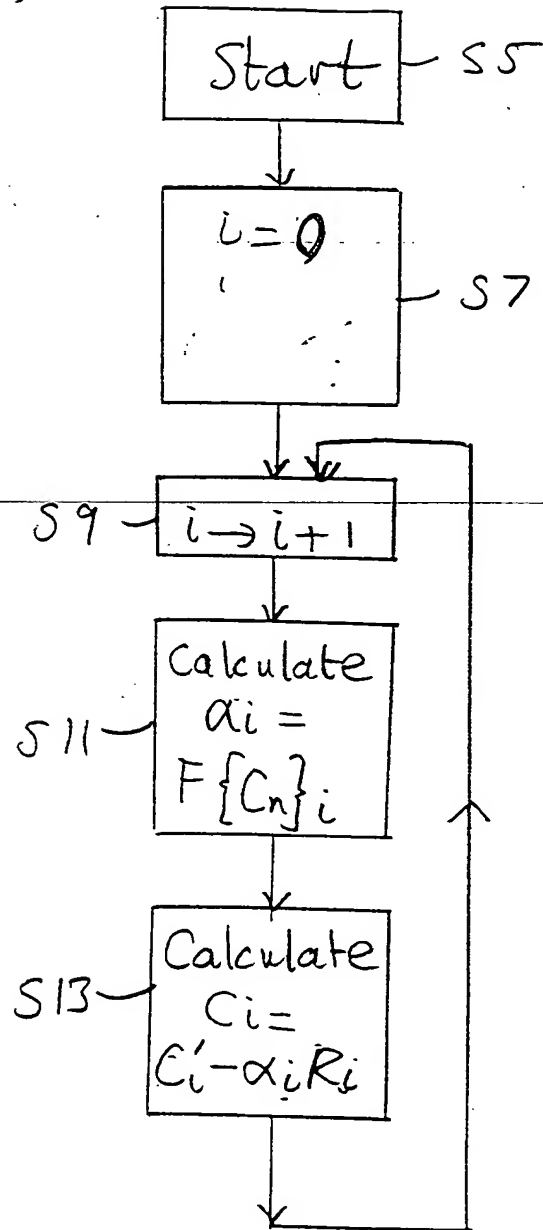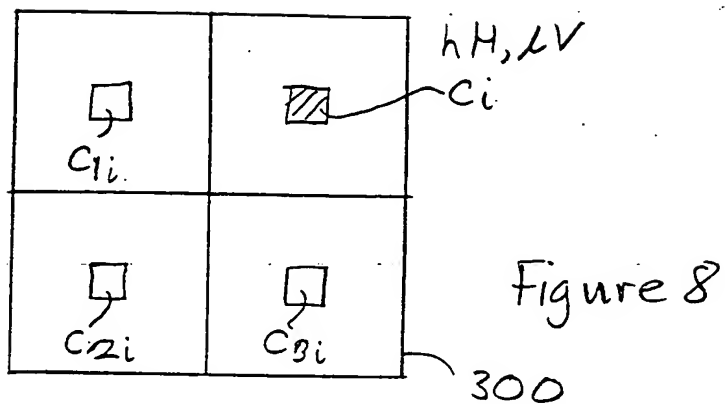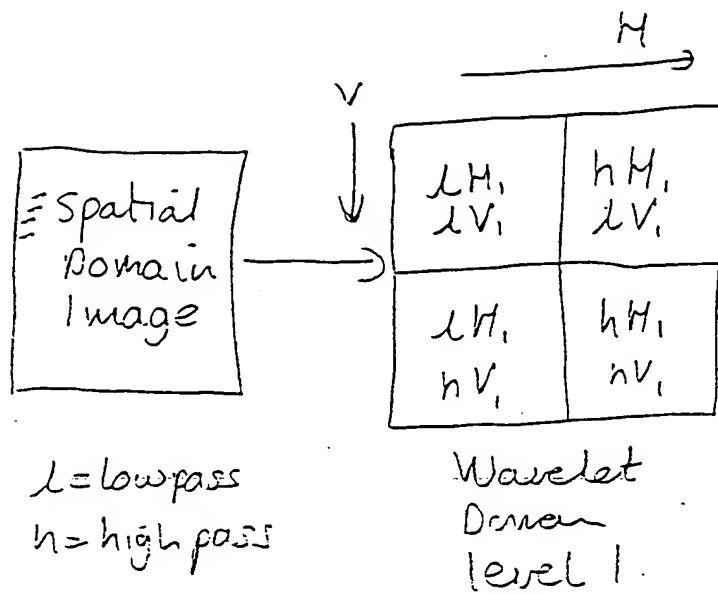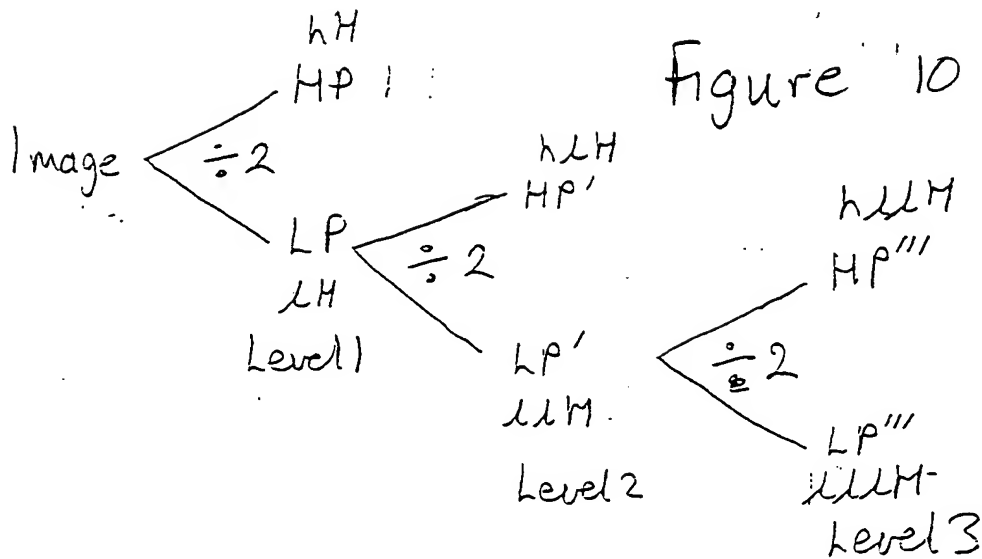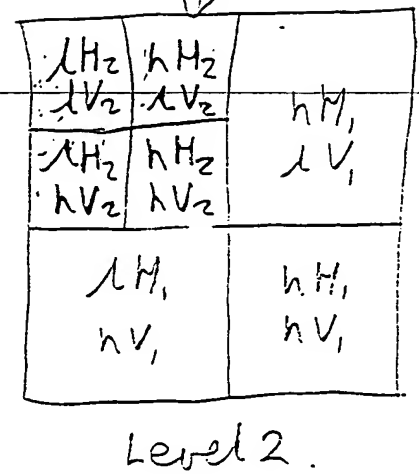
Figure 10

Schematic of Wavelet Transform.

BEST AVAILABLE COPY

Basic UMID (32 bytes)

Extended UMID (64 bytes)

Signature Metadata (32 bytes)

Universal Label	L	Inst. No.	Material Number	Time/Date	Spatial Co-ordinates	Country	Org	User

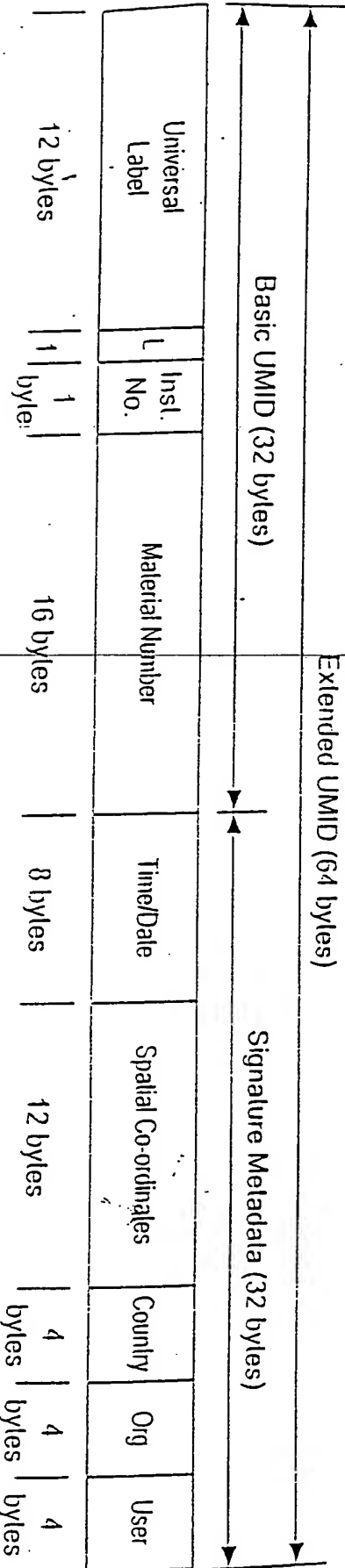12 bytes | 1 byte | 1 byte | 16 bytes | 8 bytes | 12 bytes | 4 bytes | 4 bytes | 4 bytes

Fig. 11 Basic and Extended UMID Structures.

Type	L	B11	B12	Instance	Material	Signature Metadata

1 | 1 | 1 | 1 | 3 | 16 | 32

Fig. 12